

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AT9-99-085

Date: 6-10-99

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

**HERMAN RODRIGUEZ & NEWTON J. SMITH**

For: **METHOD AND APPARATUS FOR ENCODING TRANSACTIONS FOR GOODS  
AND SERVICES USING AN E-RECEIPT**

## Enclosed are also:

- ☒ 30 Pages of Specification including an Abstract
- ☒ 8 Pages of Claims
- ☒ 8 Sheet(s) of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ Form PTO 1595 and assignment of the invention to IBM Corporation

## CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$760)
Total Claims	28	-20 =	8	X	\$ 18	=	\$144
Independent Claims	10	-3 =	7	X	\$ 78	=	\$546
Multiple Dependent Claims	0			X	\$260	=	\$ 0
<b>Total Filing Fee</b>							<b>= \$1450</b>

- ☒ Please charge \$1450 to IBM Corporation, Deposit Account No. 09-0447.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37CFR § 1.16.
- ☒ Any patent application processing fees under 37CFR § 1.17.

Respectfully,

*Jeffrey S. LaBaw*  
Jeffrey S. LaBaw  
Reg. No. 31,633  
Intellectual Property Law Dept.  
IBM Corporation  
11400 Burnet Road 4054  
Austin, Texas 75758  
Telephone: (512) 823-0494

06/10/99  
jc644 U.S. PTO

EE 318261697US

jc649 U.S. PTO  
09/329461  
06/10/99